



Diversity Self Assessments: Meeting your Dodd-Frank Requirements (Webinar)

November 29, 2017 ☐ 3:30pm - 5:00pm

**Please note: This course was originally scheduled for October 25 but was rescheduled to November 29.*

The Office of Minority and Women Inclusion (OMWI) was created by Dodd Frank's Section 342. The OMWI's focus is to develop standards for diverse policies and practices applied to financial institutions regulated by the federal banking agencies. The goal of these standards is to "promote transparency and awareness of diversity policies and practices".

An Interagency Policy Statement, effective June 10, 2015, was issued to outline expectations and help institutions comply with requirements. You are required to understand these standards and periodically perform diversity self assessments.

In August 2016, the final piece of the puzzle was released. Diversity self assessments are now being collected and used by the federal banking agencies to "monitor diversity and inclusion trends and identify leading policies and practices in the financial services industry".

This session will explore the *Final Interagency Policy Statement Establishing Joint Standards for Assessing the Diversity Policies and Practices of Entities Regulated by the Agencies* and how you can apply these standards to your institution.

What you will learn

- What types of institutions are required to perform self assessments?
- What resources and guidance are available to help me through this process?
- Will I have to put new processes in place to collect this information?
- How do these requirements affect other regulatory requirements, like ECOA & FHA?
- Are you required to share self assessments with the public? Regulators?
- What areas of our institution are subject to self assessments? Lending? Personnel? Third Parties?
- What should the self assessment look like?
- Who should be involved in the self assessment process?

Who Should Attend

This informative session is designed for Executive Management, Compliance Officers and Staff, Human Resources Managers and Staff, Risk Managers and Staff, Retail Banking Management, Operations Management, and Internal Audit Managers and Staff

Webinar Speaker

Brandy Lalla, CRCM, CAMS, CIA - Brandy Lalla is a compliance consultant, who owns The Compliance Company in New Orleans, LA . She has over 19 years experience working in various areas of both national and community banks, including in the Internal Audit and Compliance areas. She earned a bachelor's degree in Accounting from the University of New Orleans and designations as a Certified Regulatory Compliance Manager (CRCM), Certified Anti-Money Laundering Specialist (CAMS), and Certified Internal Auditor (CIA). Brandy specializes in Deposit Compliance, the Bank Secrecy Act, and Operations Risk Management.



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Registration Form

Please make copies of this form if more connections are needed.

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Connection 2

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Payment Options

- Check (Made payable to Louisiana Bankers Association)
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Registration Fee
 \$165 per connection, LBA members
 \$265 per connection, nonmembers

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- I cannot participate in the live program.
 Please send me the recording.
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- *Recording and materials will be emailed after the program

This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement:

***Please Note: Recordings are not eligible for SBET funding**

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**Submit registration and view rosters in the
 Education Section of LBA's Website, www.lba.org.**

Agenda

3:30 p.m. Webinar Begins
 5:00 p.m. Webinar Adjourns

Louisiana Bankers Association
 5555 Bankers Avenue
 Baton Rouge, LA 70808
 225-387-3282
 Fax 225-343-3159

**Webinar access codes will be sent to registrants
 with confirmation emails one week prior to session.**