



LouisianaBankers  
ASSOCIATION

# 2017 COMPLIANCE ACADEMY

January 23-26, 2017

L'Auberge Hotel, Baton Rouge

*The Compliance Academy is a 4-day school designed to build the needed knowledge base of the many federal laws and regulations that impact the lending and deposit functions in a financial institution. This comprehensive school prepares bankers to achieve success when implementing and overseeing the bank's compliance management system.*

This program prepares bankers to deal effectively and efficiently with both lending and deposit compliance regulations. Participants enhance their performance by:

- Developing technical skills, and, understanding the need for a comprehensive compliance program;
- Developing an understanding of the laws and regulations governing the banking business;
- Working with nationally known experts;
- Learning how to develop a compliance management system;
- Learning how to anticipate and prevent violations; and
- Becoming aware of regulatory "hot topics", common problems, and pending laws and regulations that could significantly affect their institution.

## Who Should Attend

The school's target audience includes individuals with a basic understanding of lending and deposit compliance requirements. It is designed for those with the desire to hone and deepen their regulation knowledge. Interactive case studies will apply this knowledge to commonly experienced banking situations. It is beneficial for:

- Branch staff
- Employees involved in compliance function;
- Auditors; and
- Others with compliance-related responsibilities.

Presented by:

Compliance Resource, LLC



# COMPLIANCE ACADEMY SCHEDULE

*Register for all 4 days or the individual days of your choice*

<b>COMPLIANCE ACADEMY DAY 1 January 23, 2017 9:00am-5:00pm</b>	<b>COMPLIANCE ACADEMY DAY 2 January 24, 2017 8:00am-5:00pm</b>	<b>COMPLIANCE ACADEMY DAY 3 January 25, 2017 8:00am-5:00pm</b>	<b>COMPLIANCE ACADEMY DAY 4 January 26, 2017 8:00am-4:00pm</b>
<b>Member Fee: \$250 Non-Member Fee: \$450</b>	<b>Member Fee: \$250 Non-Member Fee: \$450</b>	<b>Member Fee: \$250 Non-Member Fee: \$450</b>	<b>Member Fee: \$250 Non-Member Fee: \$450</b>
<i><b>Instructors:</b></i> Jack Holzknrecht and Kelly Owsley	<i><b>Instructors:</b></i> Jack Holzknrecht and Kelly Owsley	<i><b>Instructors:</b></i> Jack Holzknrecht, Kelly Owsley and Don Blaine	<i><b>Instructor:</b></i> Don Blaine
8:30am - 9:00am <b>Registration &amp; Continental Breakfast</b>			
9:00am <b>Introduction to Compliance</b>	8:00am - 9:00am <b>SAFE Act and Regulation G</b>	8:00am - 10:00am <b>Flood Disaster Protection Act</b>	8:00am - 10:00am <b>Bank Secrecy Act &amp; Money Laundering</b>
9:45am - <b>Case Study</b>	9:00am - 10:00am <b>TIL/ Regulation Z</b>		
10:00am - 10:15am Break			
10:15am -11:30am <b>ECOA/Regulation B</b>	10:15am - 12:00pm <b>TIL/ Regulation Z</b>	10:15am - 11:30am <b>Community Reinvestment Act</b>	10:15am - 12:00pm <b>Privacy/Regulation P Right To Financial Privacy Act</b>
11:30am - 12:00pm <b>Fair Housing Act</b>		11:30am - 12:00pm <b>Homeowner Protection Act</b>	<b>Reserve Requirements/ Regulation D</b>
12:00pm - 1:00pm Lunch			
1:00pm - 1:30pm <b>Case Study</b>	1:00pm - 1:30pm <b>Case Study</b>	1:00pm - 1:30pm <b>Case Study</b>	1:00pm - 1:30pm <b>Case Study</b>
1:30pm - 2:45pm <b>HMDA/ Regulation C</b>	1:30pm - 2:45pm <b>TIL/ Regulation Z</b>	1:30pm - 2:45pm <b>Service Member Civil Relief Act Military Lending Act</b>	1:30pm - 2:00pm <b>Regulation DD</b>
			2:00pm - 2:45pm <b>EFTA/Regulation E</b>
2:45pm-3:00pm Break			
3:00pm-4:30pm <b>Fair Credit Reporting Act/Regulation V</b>	3:00pm - 4:30pm <b>TIL/Regulation Z/ RESPA</b>	3:00pm - 3:30pm <b>UDAAP</b>	3:00pm - 4:00pm <b>EFAA/Regulation CC</b>
		3:30pm - 4:30pm <b>Overdraft Guidance</b>	
4:30pm - 5:00pm <b>Case Study</b>	4:30pm - 5:00pm <b>Case Study</b>	4:30pm - 5:00pm <b>Case Study</b>	

# COMPLIANCE ACADEMY INSTRUCTORS

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**Compliance Resource, LLC** is a consulting firm for financial institutions that focuses on compliance with federal laws and regulations applicable to financial institutions. The company's presenters have decades of experience working with the topics and presenting training.

**Jack Holzknicht** is the CEO of Compliance Resource, LLC. He has been delivering the word on lending compliance for 40 years. In 35 years as a trainer over 125,000 bankers (and many examiners) have participated in Jack's live seminars and webinars. Jack's career began in 1976 as a federal bank examiner. He later headed the product and education divisions of a regional consulting company. There he developed loan and deposit form systems and software. He also developed and presented training programs to bankers in 43 states. Jack has been an instructor at compliance schools presented by a number of state bankers associations. As a contractor he developed and delivered compliance training for the FDIC for ten years. He is a Certified Regulatory Compliance Manager and a member of the National Speakers Association.

**Kelly M. Owsley, CRCM** is Director of Training Services for Compliance Resource, LLC, a source of compliance assistance for financial institutions. Kelly's career in banking began in 2000. Since then she has worked for financial institutions ranging in asset size from \$250 million to \$3 billion. Kelly has worked in numerous areas of the financial services industry including retail branch management, lending, product development and training. In addition, Kelly spent three years in a training and development role with CUNA Mutual Group servicing the largest credit union in the United States. Prior to joining Compliance Resource, LLC, she served as Vice President of Compliance, BSA Officer, and CRA Officer for a community bank in Kentucky where she was responsible for implementing and training all compliance related topics. Kelly has developed and presented lending training programs in multiple states and has been an instructor at compliance schools presented by state bankers associations. Kelly holds a Bachelor of Science degree in Accounting from the University of Kentucky and is a Certified Regulatory Compliance Manager.

**Don L. Blaine** is the Senior Training Consultant for Compliance Resource, LLC. Don has over 30 years of experience in regulatory compliance for the banking industry with banks ranging in size from community banks to money center banks. He provides training on Bank Secrecy Act/Anti-Money Laundering and deposit compliance. His prior roles have included: Chief Compliance Officer, Senior Compliance Regulatory Examiner, Compliance Audit Manager, and business unit compliance manager. He has taught at the ABA's National Compliance School and has served as an instructor at programs offered by state banking associations. Prior to joining Compliance Resource, LLC, Don served as a consultant to numerous banks. He has attained Certified Regulatory Compliance Manager (CRCM) and Certified Risk Professional (CRP) certifications.

## LOCATION & ROOM BLOCK INFORMATION

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**L'Auberge Hotel**  
777 L'Auberge Ave  
Baton Rouge, LA 70820  
(225) 215-7777



### Room Block Information

To ensure the LBA group rate of **\$119+tax per night**, please call 866-261-7777 and identify that you're booking with the Louisiana Bankers Association room block or [click here](#) to book online (enter dates and enter **RLBAM** under the special offer code).

Sleeping room reservations must be made by **January 10, 2017** at 5:00pm or until the block of rooms for LBA is filled, whichever is sooner.



# 2017 LBA COMPLIANCE SCHOOL

January 23-26, 2017

L'Auberge Hotel, Baton Rouge

## Registration Information *(For multiple registrations, please make copies)*

Name

Email Address

Mr./Mrs./Ms. \_\_\_\_\_

Bank \_\_\_\_\_

Street Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

## Please choose the programs you would like to attend:

### **Compliance Academy Day 1**

Monday January 23, 2017

9:00am-5:00pm

Member Fee: \$250

### **Compliance Academy Day 3**

Wednesday January 25, 2017

8:00am-5:00pm

Member Fee: \$250

### **Compliance Academy Day 2**

Tuesday January 24, 2017

8:00am-5:00pm

Member Fee: \$250

### **Compliance Academy Day 4**

Thursday January 26, 2017

8:00am-4:00pm

Member Fee: \$250

**Total Fee Due: \$** \_\_\_\_\_

This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement:

## Payment Options

Check (Made payable to the Louisiana Bankers Association)

Visa       MasterCard       American Express

Card # \_\_\_\_\_ Expiration Date \_\_\_\_\_

Amount to be charged on card \$ \_\_\_\_\_

Name on Card (Please Print) \_\_\_\_\_

Billing Address \_\_\_\_\_

Signature \_\_\_\_\_

## Cancellation Policy:

Due to facility commitments, cancellations must be received by close of business **January 18, 2017**. Cancellations received after January 18, 2017 will be charged a \$125 cancellation fee per program cancelled. Substitutions are welcome at no additional charge.

**Submit registration and view roster in the Education Section of LBA's Website, [www.lba.org](http://www.lba.org)**