



# Recent Audit and Exam Findings (Webinar)

September 17, 2021 ☐ 10:00am - 12:00pm

This webinar focuses on recent findings and feedback relating to compliance audits and regulatory examinations, including:

- Technical disclosure errors relating to TRID, HELOCs, HMDA, Regulation B, Overdraft Programs, Regulation E, Regulation DD, Garnishments Notifications for accounts receiving protected benefits, and other compliance requirements
- Errors stemming from misunderstanding requirements and/or system setting issues such as:
  - ◆ Flood Insurance and cross-collateralization
  - ◆ Debit Card suspension practices
  - ◆ RESPA and kickbacks relating to secondary market lending
  - ◆ Fair Lending – pricing practices, compensation, and redlining
  - ◆ Regulation E Dispute/Error Resolution Procedures
- Expectations for policies and procedures
- Tools for audit and exam management
- Helpful hints to minimize and prevent compliance errors

## Who Should Attend:

This webinar will benefit loan officers, risk officers, loan processors, compliance officers and auditors.

## Webinar Speaker:

**Linda Tresemer** has worked in banking compliance since 2007, through the mortgage crisis and subsequent regulatory reform. The majority of her banking career has been dedicated to compliance for mortgage lending and operational compliance. Linda's expertise, in addition to CRCM, includes in-depth knowledge of community banking and secondary market mortgage lending.



## Webinar Registration:

Mr./Mrs./Ms. \_\_\_\_\_  
Bank \_\_\_\_\_  
Email Address \_\_\_\_\_  
Branch Street Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_  
Office Phone \_\_\_\_\_  
Cell \_\_\_\_\_

## **Payment Options:**

☐ Check ( Made payable to Louisiana Bankers Association)  
☐ Visa ☐ MasterCard ☐ American Express  
Card Number \_\_\_\_\_  
Expiration Date \_\_\_\_\_ Amount to be charged: \_\_\_\_\_  
Name on Card (please print) \_\_\_\_\_  
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## **Please check:**

### **Registration Fees for LBA Members**

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☐ This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement:

\_\_\_\_\_  
**\*Please Note: Recordings are not eligible for SBET funding**

Submit Registration and view rosters in the Education Section of the LBA's website, [www.lba.org](http://www.lba.org)

**Webinar access codes will be sent to registrants  
with confirmation emails one week prior to session.**