



Five Reasons to Complete BSA Training for Lenders (Webinar)

February 13, 2019 ☐ 10:00am - 11:30am

BSA examiners are digging deeper and have begun to ask more questions about the lending function during exams. Could a BSA examiner find loan fraud that had not been detected by your institution’s due diligence process? Is there a system for identifying false statements and attempts at identity theft on loan applications? Will lenders know that these activities may require filing a SAR (suspicious activity report)? Is the process for documenting Beneficial Ownership effective? This program will address **five important reasons** that BSA training must be provided for lenders.

Program Topics:

- What are **five reasons** to conduct BSA training for Lenders
- What are the three stages of money laundering and can this happen in the lending area
- What are some of the BSA “red flags” and risk factors in lending
- What types of due diligence questions should you ask a loan applicant for BSA compliance
- Steps for BSA due diligence throughout the life of the loan
- What are the **six types** of lending-related reasons of attempted or actual suspicious activity that must be reported on SAR’s
- What are some **examples** that have resulted in **prosecution**
- Steps for effective CIP loan compliance
- **Important review of beneficial ownership rule that became the 5th pillar for BSA on May 11, 2018**
- Examples of Loan Fraud and suggestions for preventing identity theft

Who Should Attend:

Loan Officers, Loan Operations, BSA Officers and Staff, Branch Personnel, Risk Managers, Compliance Officers and Audit

Webinar Speaker:



Susan Costonis is a compliance consultant and trainer and began a career in banking in 1987. She specializes in compliance management along with deposit and lending regulatory training. Susan has successfully managed compliance programs and exams for institutions that ranged from a community bank to large multi-state bank holding companies. She has been a compliance officer for institutions supervised by the OCC, FDIC, and Federal Reserve. Susan has been a Certified Regulatory Compliance Manager since 1998, completed the ABA Graduate Compliance School, and graduated from the University of Akron and the Graduate Banking School of the University of Colorado. She regularly presents to financial institution audiences in several states and “translates” complex regulations into simple concepts by using humor and real life examples.

Webinar Registration:

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Registration Fees for LBA Members

- Live Webinar Connection \$165 per connection
- Webinar Recording \$165 per recording

Registration Fees for Non-Members

- Live Webinar Connection \$265 per connection
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Webinar access codes will be sent to registrants with confirmation emails one week prior to session.