



# 2017 BSA Webinar Series

All programs 10:00am-11:30am

Place a check next to each live webinar (WEB) and/or recording (REC) you would like to register for/order:  
Registration fee (per webinar): \$165 per connection/LBA members; \$265 per connection/nonmember

## **August 11, 2017: Detecting Financial Crimes - What to look for**

A financial institution must report any transaction that requires reporting under the terms of 31 CFR Chapter X if the transaction is conducted or attempted by, at, or through the financial institution and involves or aggregates at least \$5,000 (\$2,000 for money services businesses, except as provided in Section 6 of this document) and the financial institution knows, suspects, or has reason to suspect that the transaction or pattern of transactions of which the transaction is a part:

(1) Involves funds derived from illegal activity or is intended or conducted in order to hide or disguise funds or assets derived from illegal activity (including, without limitation, the ownership, nature, source, location, or control of such funds or assets) as part of a plan to violate or evade any Federal law or regulation or to avoid any transaction reporting requirement under Federal law or regulation; (2) Is designed, whether through structuring or other means, to evade any requirement of 31 CFR Chapter X or any other regulation promulgated under the Bank Secrecy Act, Public Law 91-508, as amended, codified at 12 U.S.C. 1829b, 12 U.S.C. 1951-1959, and 31 U.S.C. 5311-5332; (3) Has no business or apparent lawful purpose or is not the sort in which the particular customer would normally be expected to engage, and the financial institution knows of no reasonable explanation for the transaction after examining the available facts, including the background and possible purpose of the transaction; (4) Involves the use of the financial institution to facilitate criminal activity. What are the crimes that prompt this filing? How do we find the red flags and the potential financial crime? Learn more about the detection part of our business. **Session topics include:** Structuring; Computer intrusion; Account takeover; Identity theft; Check fraud, kiting and forgeries; Straw man fraud; Mortgage Fraud; Commercial loan fraud; Ponzi schemes; Elder Fraud; and many many more. Participants will receive definitions of these crimes, red flags to detect these types of crimes and SAR Handbook.

**WEB**

**REC**

## **September 8, 2017: Writing a SAR Narrative: 24 points to Cover**

The narrative section of the report is critical to understanding the nature and circumstances of the suspicious activity. The care with which the narrative is completed may determine whether the described activity and its possible criminal nature are clearly understood by investigators. Filers must provide a clear, complete, and concise description of the activity, including what was unusual or irregular that caused suspicion. This description should encompass the data provided in Parts I through III, but should include any other information necessary to explain the nature and circumstances of the suspicious activity. Filers should provide any information the filers believe necessary to better enable investigators to understand the reported suspicious activity. Narratives must be completed in English. This webinar will cover the who, what, where and why of the crime. It will also cover how to write a narrative to support what law enforcement needs to know and how to get them that information. Concise, clear and detailed narratives are the main focus of the content with every bit of information about accounts, banks and transactions involved. **Session topics include:** A review of the 24 points in the instructions; What to do on new SARs and what to do on continuous SAR; How to count range of activities; Timing of SAR filings; What types of information are helpful to law enforcement; Convictions resulting from SAR filings. Participants will receive 24 Point Checklist and SAR Handbook.

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## **October 27, 2017: BSA Regulatory Requirements for Opening and Managing Casinos**

If you bank a casino, you will need to have a better understanding of the casino's BSA regulatory requirements and what types of activities to expect on an on-going basis. This program will help you develop your new accounts questions, ask for pertinent documents, know when your customer is exempt from Customer Identification Program (CIP) and Currency Transaction Reports (CTRs). It is important to understand the business profile and what is normal for your casino. This webinar will help you look at the BSA issues involving casinos. **Session topics include:** What BSA regulatory requirements are in place for casinos; Questions to ask at the new accounts desk when you open an account for a casino; Can a casino be exempt from a bank CTR; When does a casino become suspicious; What documents do we need to open the account; What type of on-going monitoring and due diligence is required; What has happened in recent enforcement actions with casinos; Learn how to open, manage and close casino relationships with your financial institution if needed; Red flags that make it necessary to file a SAR on a casino.

**WEB**

**REC**

## **November 3, 2017: BSA International Issues, PEPs, NRAs, OFAC, CMIR and More**

From opening accounts for international customers to transactions which can go to international accounts, this webinar will look at international BSA/AML issues that are important in 2017. You won't want to miss a review of OFAC and international ACH transaction issues plus new ways of money laundering with trade. **Session topics include:** Opening Accounts for nonresident aliens, politically exposed persons and international businesses; Type of identification that are acceptable and what is not acceptable; Developing and maintaining an OFAC compliance program; Bulk Cash, CMIRs and other international cash issues; IATs; Funneling and trade-based money laundering; Geographies and high risk countries; Sanctions and the lessons learned on international issues.

**WEB**

**REC**

## **December 8, 2017: BSA Year End Critical Issues**

It is year-end and time to wrap up for another year. What are the top 10 concerns you need to look at to close down the year? In this program, we will look at the pillars and hot topics to ensure a successful 2018. **Session topics include:** SARs, CTR, Information Reporting; Record retention; Audits, Training; Adding CDD where are we now; Recent enforcement actions and lessons learned. Participants will receive a BSA officer's toolkit.

**WEB**

**REC**

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### **Who Will Benefit**

BSA officers, coordinators and staff, investigators, security officers, OFAC and compliance officers, new accounts, personal bankers, branch managers, tellers, deposit operations and training



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## Workshop Instructor



**Deborah Crawford** is the President of gettechnical, inc. a Baton Rouge-based firm, specializing in the education of banks and credit unions across the nation. Her 27+ years of banking and teaching experience began at Hibernia National Bank in New Orleans. She graduated from Louisiana State University with both her bachelor's and master's degrees. Deborah's specialty is in the deposit side of the financial institution where she teaches seminars on regulations, documentation, insurance and Individual Retirement Accounts.

## Registration Form

Please make copies of this form if more connections are needed.

Mr./Mrs./Ms. \_\_\_\_\_

Bank \_\_\_\_\_

Email Address \_\_\_\_\_

Branch Street Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

### Payment Options

☐ Check ( Made payable to Louisiana Bankers Association)

☐ Visa ☐ MasterCard ☐ American Express

Card Number \_\_\_\_\_ Expiration Date \_\_\_\_\_

Name on Card (please print) \_\_\_\_\_ Signature \_\_\_\_\_

Billing Address: \_\_\_\_\_ Amount to be charged on card \$ \_\_\_\_\_

### Registration Fee (Per webinar)

\$165, per LBA member  
\$265, per non-member

### Webinar Recording:

\$165 (LBA members)

\$265 (non-members)

**\*Recording and materials will be sent after the live program**

☐ This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement:

\_\_\_\_\_

**\*Please Note: Recordings not eligible for SBET funding.**

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\$265 per connection/nonmembers

### Agenda for each webinar

10:00 a.m. Webinar Begins

11:30 a.m. Webinar Adjourns

Submit registration and view rosters in the  
Education Section of LBA's Website, [www.lba.org](http://www.lba.org).

Louisiana Bankers Association  
5555 Bankers Avenue  
Baton Rouge, LA 70808  
225-387-3282  
Fax 225-343-3159

**Webinar access codes will be sent to registrants  
with confirmation emails one week prior to session.**