



Teller Compliance Issues: CTRs, Reg. CC and UCC 3 & 4 (Webinar)

February 6, 2017 ☐ 3:30pm - 5:00pm

*****Fulfills BSA and Reg CC Annual Training Requirements*****

This webinar focuses on the legal issues of checks outlined in signature cards under the uniform commercial code and the holds used on transaction accounts under Regulation CC. This informative webinar will review endorsements, ambiguous language on checks, stop payment orders, death issues, post-dated checks and the account holder's responsibilities in reviewing his or her statements. Your financial institution's best defense against fraud is the proper placement of holds on checks within Regulation CC's guidelines. We will review all schedules of next day items, local and non-local checks. Participants will receive materials including hold forms and worksheets.

What you will learn:

- How money moves
- Endorsements
- Contradictory terms
- Incomplete contracts
- Stop payment orders
- Stop payment orders on cashier's, certified and teller's checks
- Knowledge of death and action on checks after death
- Customer's duty to read statement
- Negligence issues and the customer's responsibility
- What is a transaction account
- What is a local check
- Next day items
- Case by case holds and exception holds
- Substitute checks
- Red Flags for Money Laundering
- CTRs

Who Should Attend

This webinar will benefit all head tellers, branch managers, branch administrators, compliance officers, training staff and others who manage or work the frontline.

Webinar Speaker



Deborah Crawford is the President of gettechnical, inc. a Baton Rouge-based firm, specializing in the education of banks and credit unions across the nation. Her 27+ years of banking and teaching experience began at Hibernia National Bank in New Orleans. She graduated from Louisiana State University with both her bachelor's and master's degrees. Deborah's specialty is in the deposit side of the financial institution where she teaches seminars on regulations, documentation, insurance and Individual Retirement Accounts.