

Security Risk Management Seminar 2017

A One-Day Continuing Education Security Seminar for anyone with responsibilities in bank security, risk management, bank/branch operations or administration, human resources, compliance, legal counsel, audit and fraud prevention.

Presented by



Louisiana Bankers
ASSOCIATION

and



in cooperation with

Profit Protection, LLC

A financial institution's security risk management program must, "... provide for initial and periodic training of officers and employees ..." – Bank Protection Act

Given today's challenges, "Security Risk Management" plays a critical role in protecting the safety and soundness of financial institutions. The ongoing dangers from physical, fraudulent, and electronic threats are very real. This full day, live and interactive program looks at today's bank security challenges. The annual "Security Risk Management Seminar" provides critical information for critical thinking about critical issues for both new and experienced financial institution security and risk professionals. Among the subjects to be covered:

Security Officer's Responsibilities Under the BPA

- What's new in managing BPA risk? Program analysis of trends and the direction of risk
- Active threats and liability
- Disaster preparedness and continuity
- Case management and reporting

Tips in Robbery Training and Preparedness

- Effective training – be alert and be aware
- Before, during and after a robbery
- The short/long-term value of branch inspections
- Think like a bandit; so harden the target

Internal Crime and What to Do About It

- *In the mind of the embezzler*: tips and behaviors
- Tales of embezzlements and defalcations
- Avoid insider crime with controls and safeguards

New Account Fraud and Beneficial Owner Rules

- Exposure at account opening
- Financial exploitation of the elderly: trends and focus
- Entity 101 and identifying beneficial owners
- Privacy protections vs. SAR obligations
- Check and debit card fraud
- Online, cyber, RDC, mobile and other "e-fraud"
- Identity theft = bank fraud
- CIP for holders of prepaid cards

Each attendee will receive a Certificate of Security Management Training, along with a Comprehensive Workbook, Bonus Resource CD and Drawing for Door Prizes

February 1, 2017

Louisiana Bankers Association

The Bankers Center • 5555 Bankers Avenue • Baton Rouge, LA 70808

Time: 9:00 am - 4:00 pm (Registration 8:45 am)

Why You Should Attend This Comprehensive Security Seminar

- The Best Value in Financial Institution Security Training!
- Timely subject matter chosen by financial institution security professionals.
- Broaden your professional knowledge.

- Stay abreast of industry standards and regulatory imperatives.
- Network with your counterparts at other institutions.
- Learn problem solving tips and techniques.
- Actively participate or just observe and listen.

Comprehensive Workbook & Resource CD
...with case histories, statistics, presentation outlines, sample procedures and sample training guidelines

Training Certificate Attests to Compliance with the Continuing Education Requirement of the Bank Protection Act

Drawing for Door Prizes
... valuable education materials offered by Profit Protection through your state banking association

Faculty Accessibility
... during and after the seminar

Who Should Attend?

This informative session is for bank security officers, analysts and investigators, as well as all management and staff with responsibility for security and risk management.

This Seminar will be presented by one or more of the following:

Phillips G. Gay, Jr., CAMS, CRCM and CRP, is principal of the National Association for Bank Security/Profit Protection, LLC. He is a frequent speaker at state and national compliance programs, as well as senior advisor to a national compliance training concern. He held senior management positions at a number of community and multi-national banking concerns, including Commercial Bank of Florida, First Union Corporation (Wachovia/Wells Fargo) and Bank of North America, among others. Gay has served in an advisory capacity on numerous government and trade association committees (American Bankers Association, Bank Administration Institute, and America's Community Bankers) dealing with regulatory compliance matters. He is a charter recipient of ABA's Certified Regulatory Compliance Manager, BAI's Certified Risk Professional, and ACAM's Certified Anti-money Laundering Specialist designations.



Mr. John Felten is associated with NABS/Profit Protection and currently serves as a security management and public safety consultant. As an adjunct professor with two universities, he teaches college courses including security management, business, report writing, diversity, ethics, and accountability. He also serves as security adviser for several college campuses, universities and businesses. His law enforcement career spans over 40 years, serving as Assistant Director/Major for the Broward County Sheriff's Department, Chief of Police, Exec. Director for the Organized Crime Division, Director of Internal Affairs, and Director of Civil Process. He is experienced in organizational security and property protection, active shooter and workplace violence protocols, conflict resolution, emergency preparedness, employee accountability, and program/facility security procedures. He holds a M.A. in Organizational Management from the University of Phoenix and a B.A. in Criminal Justice from FAU.

REGISTRATION FORM

Security Management Seminar — February 1, 2017

Louisiana Bankers Association • The Bankers Center
5555 Bankers Avenue • Baton Rouge, LA 70808

Name

Email Address

1. _____

2. _____

3. _____

Bank _____

Branch Street Address _____ City / State/ ZIP _____

Phone _____ Fax _____

Payment Options

Check (made payable to the Louisiana Bankers Association) Visa MasterCard American Express

Card # _____ Expiration Date _____

Credit Card Billing Address _____ Name on Card (Please Print) _____

Signature _____ Amount to be Charged on Card _____

This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement: _____

Cancellation Policy & Registration Deadline

Due to commitments we must make to secure a class, we need your help. If you must cancel your registration, please do so at least three business days prior to the seminar date to avoid a \$125 cancellation fee. Any registrant who does not cancel will be billed the full registration fee and sent the manual. Substitutions are welcome at no additional charge.

Registration Fee: \$250, LBA members \$450, nonmembers

Submit registration and view rosters in the Education Section of LBA's website, www.lba.org.

Fax 225/343-3159

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