



Suspicious Activity Reports: Alerts, Investigations, Filings & Working with Law Enforcement (Teleconference)

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The new SAR will require changes to financial institutions' anti-money laundering (AML) programs. The detailed transaction requirements and the suspect information may require changes to incident reports for the frontline when filing a red flag. Banks may have to add data fields to new accounts worksheets to gather emails, gender, NAICS, phone numbers, and more in order to complete the required SAR fields. Implementing the new forms and information will require thought and detailed analysis of present processes. This program will provide a sample SAR policy, sample incident reports for the frontline, a new accounts worksheet, a form for due diligence with joint filers, and much more. This program is beyond nuts and bolts. You will come away with a comprehensive integration plan for the new SAR into your bank's AML program. Don't miss receiving these helpful tools!

Teleconference Topics:

- ◆ Tracing a red flag from the system or the front line to a SAR.
- ◆ Navigate through the new SAR – a section-by- section overview.
- ◆ The impact of joint filings with 314 B: What are each party's responsibilities?
- ◆ Developing an Excel template for your detailed transactions attachment.
- ◆ Construct a template for your narratives that follows the specified format.
- ◆ Handling suspects, multiple suspects, and no suspect.
- ◆ Reporting what happened at what branch with which financial institution.
- ◆ Working with suspect information: NAICS, countries, multiple addresses, emails, and phone numbers.
- ◆ Developing a new incident report for the frontline to detect red flags.
- ◆ Gathering information at account opening to help with SAR investigations down the road.
- ◆ Who can receive a SAR and what type of supporting documentation can be given?



Teleconference Speaker

Deborah Crawford, is president of gettechnical inc., formerly Technical Training Systems. She specializes in the education of financial institution employees and officers in the area of deposit account laws, new account documentation, complex compliance regulations and IRAs. She began her banking career with Hibernia National Bank, and she holds a bachelor's and master's degree from Louisiana State University.